



## Version 2.8

### Detailed List of Enhancements

Below is a description of the enhancements added to each release.

Build Number	Description of Enhancements
2.80.99  Released: 7 Jun 06	<ol style="list-style-type: none"> <li>1. Strong Passwords added as a preference, giving the ability to turn on strong passwords in Advisors Assistant.</li> <li>2. Added the ability to dial through SKYPE using the Advisors Assistant autodialer.</li> <li>3. Added View Investment w/ Flex Fields report.</li> </ol>
2.70.98  Released: 23 Dec 05	<ol style="list-style-type: none"> <li>1. New reports added: Selected Policies by Submitted Date, Total Performance Wide 2, Total Performance Wide Dlr ROR 2, Account Perform Portfolio Summary Graph.</li> <li>2. INV: CD's are now set to .01 share factor, just like bonds.</li> <li>3. INV: Added a new option for the Advisory World partner report export (right-click in View Client w/ Investments). This will export all investments with a give company, rather than account number. This is for situations where the company assigns different account numbers to each investment (eg Putnam, MFS, Oppenheimer).</li> <li>4. Added the ability to restore a password-protected zip file.</li> <li>5. SCHWAB: Added ARZ-Schwab Advisor Cash Reserves and APZ-Schwab Advisor Cash Reserves Premier to the list of Money Markets to SWBTYPEDbf.</li> </ol>
2.70.97  Released: 26 Aug 05	<ol style="list-style-type: none"> <li>1. ClientDirect™ application ready for beta testing. This is a web application which accepts exports from Advisors Assistant and makes it possible for clients to view reports on the web.</li> <li>2. Replaced the left and right action buttons on the View Screens with a down arrow. Instead of right clicking on the left and right arrows for a list of the last ten viewed clients, you can now left click on the down arrow. The label on the down arrow is "Recent".</li> <li>3. Added a Forms Icon as an action button in place of the right arrow mentioned above. The Forms Icon will load either Quik! Forms or Laser App (depending on which forms application you are using).</li> <li>4. Added a new preference under Files   Preferences so that you can choose which form application to load (see the item above) in the even you are using both applications. The preference is not visible unless you have both Laser App and Quik! Forms installed on your computer. If you only have one installed, you don't need the preference, Advisors Assistant will just load that one.</li> <li>5. Support added for Laser App Version 8. This added several fields passed to Laser App based on the App Automation screens attached to the client edit screens.</li> <li>6. Quik! Forms is now loaded from inside of Advisors Assistant instead of accessing the Advisors Assistant information from inside of Quik!. Also added support for the basic client fields (but not employer, etc.) based on entries in the App Automation screens. If you don't fill in any of the App Automation screen items, Advisors Assistant passes the name of the client to Quik! and Quik! retrieves data from Advisors Assistant.</li> <li>7. Albridge (StatementOne) changed so that when an illegal character is sent by Albridge (certain characters are not allowed in web services, such as the ampersand) Advisors Assistant will skip the record after showing a message providing the taxid of the client being skipped. The user can then change the registration removing the illegal character and download the record. Albridge is working on correcting the illegal character problem.</li> </ol>

	<ol style="list-style-type: none"> <li>8. Added support for the MuniMetrix document storage solution.</li> <li>9. Changed Rate of Return to exclude principal reductions from distributions.</li> <li>10. Added the Intra-account transfer capability to Withdrawal and Cash Deposit transactions.</li> <li>11. Commissions: Fixed a problem when posting a remainder transaction that was a "remainder of a remainder". (tricky!)</li> <li>12. Selected Names By Subtype report now sorts the client names in alpha order within each subtype.</li> <li>13. Carry over basis is now doing cash deposits and withdrawals if on the same day. If the transfers in and out of cash occur on different days, the routine will mark the transactions as transfers, but will not calculate the basis automatically.</li> <li>14. Group With Listing III investment report added which shows a listing of portfolio groupings largest first.</li> <li>15. Group With Value Summary investment report added which shows a listing of clients' that have investments grouped to them, largest first, but does not show the detail of the report above. It just shows the total of the investments. Both these reports can be useful which clients control the largest groups of investments.</li> <li>16. On Rate of Return reports, when an initial transaction is downloaded, the beginning of the period is now based on the settlement date. This is because the money moves on the settlement date and it was possible to make it look as if the money was in both the cash account and the investment at the same time (in the case of Pershing) if the money did not move in and out of cash on the same day.</li> <li>17. Contact Management Reports: Added a files report (Selected Names &amp; Associated Files) to show the filename, date, category, and description of the files stored for each client.</li> <li>18. Contact Management Reports: Added Selected Names &amp; Associated Files II to filename, date, file path, and description of the files stored for each client.</li> </ol>
<p>2.70.96</p> <p>Released: 17 Jun 05</p>	<ol style="list-style-type: none"> <li>1. Pershing: NET type transactions are skipped and also left off of the skipped transaction report since so many are in each download and the distort the report.</li> <li>2. View Investment With Transactions Screen: Expanded the share balance column.</li> <li>3. Pershing / Fidelity: Changed how some of the codes effected cash flow.</li> <li>4. Imaging Assistant ready for release. This is a scanning solution that automatically associates the scanned file within the files section of the client being viewed. There is a demonstration movie available under the Paperless Office link from our home page.</li> </ol>
<p>2.70.95</p> <p>Released: 4 May 05</p>	<ol style="list-style-type: none"> <li>1. View Client With Files: If Windows fails to open a file when it is double clicked, Advisors Assistant displays the reason returned by Windows.</li> <li>2. Rate of Return Reports: Added the ability to run the reports with / without expenses affecting the return.</li> <li>3. All Investment Reports: Added the ability to control the font and size of footnotes. For example: &lt;i&gt;starts italics, &lt;n&gt;starts normal text, &lt;P8&gt;changes to 8 point, &lt;P10&gt; changes to 10 Pt. &lt;B&gt; changes to bold.</li> <li>4. Calendar: Data Administrators can now access all calendars in the system, even if they were not given rights.</li> <li>5. Underwriting Management By Submit Date Report: Action field expanded.</li> <li>6. Policy Surrender Values List report added.</li> <li>7. Client Summary Detailed With Events report added.</li> <li>8. Agency Trailers By Company report added.</li> <li>9. Completed Paid Policies report added.</li> <li>10. Client Detail Summary: Added Total Benefit Amount to this report.</li> <li>11. Trailer Commissions Detail By Rep report added.</li> <li>12. Trailer Commissions Summary By Rep report added.</li> <li>13. Transaction Commissions By Rep report added.</li> <li>14. Pershing: Changed processing of corrected transactions when the trade</li> </ol>

	<p>and settle date is after the transaction date to prevent duplicatges.</p> <ol style="list-style-type: none"> <li>15. Pershing: Made changes in the cash balance account when the shares reported do not match the cash reported. Now the cash reported rules.</li> <li>16. Pershing: Added processing for FT7, FT0, FT9, LPS transaction types.</li> <li>17. Pershing: Added processing for MFB, DRA, RNS, PRE type transactions.</li> <li>18. Wedbush Morgan: Added processing for transaction types which contain a "*". FRDB transaction types changed to Buy or Sell, vs Deposit or Withdrawal.</li> <li>19. Wedbush Morgan: SPIN, T/A,FLIQ, SCAP CDINT, FTND and REC transaction types added.</li> <li>20. Wedbush Morgan: Added logic to detect when a fee is deducted from a cash dividend and only the net is reinvested.</li> <li>21. Wedbush Morgan &amp; Waterhouse: When two positions are sent for cash and margin, they are now both processed and combined into the one cash account position.</li> <li>22. Fidelity: Added processing for AI, ROY, LEU transaction types.</li> <li>23. DST: Distribution file will not update the position for reconciling shares. Only comes into effect when there is a distribution at month end which is not settled until the next month.</li> <li>24. DST: Added code for REIT's not sending prices to calculate the price from the position's shares and values.</li> <li>25. Stearn, Agee &amp; Leach (SAL) Added processing for ESMMP, JNL transaction types.</li> <li>26. Waterhouse: Added processing of the PAR factor for GNMA's.</li> <li>27. Most downloads: Extended the unprocessed transaction report to include the transaction notes. Important to Waterhouse which has details in notes.</li> <li>28. Schwab: Fixed a problem with long term dividend transactions and when there was a dividend cash and dividend reinvested for the same issue on the same day.</li> <li>29. Albridge: User must now select a producer before they can start the download processing.</li> <li>30. Albridge: Added the display of the account registration for new accounts because sometimes the first and last name fields are blank.</li> <li>31. XMLife (Mass Mutual / GenAm) added processing to pick up loan balance when it is included in the Holding node.</li> <li>32. XMLife: Added the ability for multiple users to process XMLife downloads simultaneously.</li> </ol>
<p>2.70.94  Released: 4 Jan 05</p>	<ol style="list-style-type: none"> <li>1. When deleting a note, there is now a message that reminds you that you will be deleting the entire note topic.</li> <li>2. Address and Phone Edit Screens: Added a new button, Copy to Clipboard. You can use it to paste an address block or a phone number into another program, such as Word.</li> <li>3. Main Module: Added Drivers License and State of Issue to View Client Screens.</li> <li>4. Insurance Selection Reports: Added 4 new selection reports: Individual Client Summary II; Policy Summary by Owner; Underwriting By Submit Date III; Selected Policies III.</li> <li>5. Calendar: Added List by Day with Phones.</li> <li>6. Insurance Commission Reports: added 2 new reports: Transactions by Agent Net and Transactions by Company Net.</li> <li>7. Investment Reports: Added 3 investment reports: Statement by Type with Loans; Account Performance Portfolio Summary II; and Account Performance Summary with Beta.</li> <li>8. Contact Selection Reports: Updated 3 reports: Birthday List; Individual Client Detail; Selected Names by Subtype.</li> <li>9. Commission Reports: Updated Summary by Company Graph.</li> <li>10. Calendar Reports: Updated 2 reports: List by Client and List by Description.</li> <li>11. Investment Reports: Updated Insurance &amp; Investment Summary and View Investment Report by Account.</li> <li>12. When a task in Advisors Assistant is marked complete, and the next event</li> </ol>

	<p>in the set becomes the initial event. This changes the set in Outlook also.</p> <ol style="list-style-type: none"> <li>13. StatementOne / Albridge Download: Fund Code is now used if it is in the download. (Be sure to tell Albridge to include Fund Codes for VAs).</li> <li>14. StatementOne / Albridge Download: Since StatementOne does not send positions on any investments that have been zeroed out, any StatementOne supported investment that is not in the download will be assigned a position of \$0.</li> <li>15. Business name in selection reports now looks for exact matches vs partial matches. It is not case sensitive.</li> <li>16. DST Download: Removed the FTP option will soon not be supported by DST. HTTP downloads are the only option.</li> <li>17. Investments: Added decimal places for viewing on the View Investments with Transactions screen. An "*" now shows when a transaction has been cancelled.</li> <li>18. Pershing: Added a transaction type code QLR to process as deposits or withdrawals. Type FFA (wire transfers) were added as buys and sells, ISF were added as non-financial transactions.</li> <li>19. Several Downloads: People were accidentally copying files to the download folders causing some to be processed twice. Now files that are "Copy Of" are deleted so they won't process.</li> <li>20. TD Waterhouse Download: When two cash positions are sent on the same day, they are now combined.</li> <li>21. TD Waterhouse: If the net amount of the transaction is not sent, it is now calculated.</li> <li>22. Outlook calendar events imported will no longer cause a color change in Advisors Assistant.</li> <li>23. LaserFiche Interface: Added an Alt-Z command so that other files on the system can be imported into the LaserFiche Document Storage structure.</li> <li>24. View Client With Files Screen: Switched the file name and description fields so the Description is displayed at the beginning of the line.</li> <li>25. TD Waterhouse: Added transaction types PIL, QDV, and NDV.</li> <li>26. Email export in Selection Reports Export section now includes a header record.</li> <li>27. Investments: When changing a transaction from an intra-account transfer (unchecking the box), the system will now recalculate the cost basis when you next calculate cost basis.</li> <li>28. Made necessary changes for the testing of the Imaging Assistant™ scanning module.</li> </ol>
<p>2.70.91  Released: 28 Sep 2004</p>	<ol style="list-style-type: none"> <li>1. StatementOne download released for use. Two months free usage of this download is being offered for the next 30 days in exchange for feedback on the module.</li> <li>2. Added contact name to view screen for the fax number.</li> <li>3. Investments: You can no longer enter a price (NAV) for a future date.</li> <li>4. Rate of Return: When an initial purchase of an investment takes place during the ROR measuring period, the beginning market value used is the invested amount. Previously the end of day close was used. Therefore, if an investment gains value during the day it is purchased, it is reflected in the rate. To turn this feature on, set the "Pricing Only Once A Day" to No on the Symbol Screen. Only Time Weighted ROR is effected.</li> <li>5. On View Investments With Transactions, the Balance column is now the Share Balance.</li> <li>6. Word Merge: Lengthened the length of the greeting and addressee fields.</li> <li>7. Investment Downloads: Client Direct Export field is now defaulting to No unless there is another investment in the account set to Yes.</li> <li>8. DST: Default values for sub-type, owner, etc. are now searched for account numbers with and without leading zeros.</li> <li>9. Primary Addresses: If an address is set as primary (including email and URL's) and there is already a primary address in that class of addresses, the other primary address is unset as primary. This saves the user having to update the other address record.</li> </ol>

	<ol style="list-style-type: none"> <li>10. When creating a remainder transaction, the new amounts due agents and/or managers are factored by the difference between the original agency amount due and the amount actually paid to the agency. Example: Agency level due is \$80, and Agent level due is \$60. The agency is actually paid only \$40, or 50% of what it should have been paid. This 50% is the factor used to find the new agent level due amount, \$30.</li> <li>11. Investments: Added a new field to the Symbol Screen to indicate if pricing is only once per day. This allows the user to turn off mid day values being used for rate of return calculations.</li> <li>12. The Load Picture function on the view screens has been disabled. Please use the Files view to add pictures to the database. All pictures already loaded can still be viewed.</li> <li>13. Commissions: Agency Distribution Reports now start in full screen mode.</li> <li>14. XMLife import now defaults to My Documents folder when looking for file to process.</li> <li>15. XMLife import now provides for multiple runs without having to exit and reload the module.</li> <li>16. XMLife import now allows the user to choose other than the default producer for that user without changing the default producer preference.</li> <li>17. XMLife import now uses the Tax ID combined with birthdate for validating client names.</li> <li>18. Investments: Accrued interest is not applied to cost basis and also creates a negative interest amount until the interest is actually paid.</li> <li>19. Investment Rate of Return is calculated on the cash balance account used by brokerage accounts to temporarily store cash. The symbol for these accounts is set to CASH by the download modules.</li> <li>20. Insurance: Changed the input order for Modify Policy screens so that the Valuation Date comes before the Cash Values.</li> <li>21. View Investment Screen Sales figures now includes withdrawals.</li> <li>22. Performance Reports: Several minor modifications were made in performance reports.</li> <li>23. Added a new report: Birthday List By Producer. This is the standard Birthday report with the names grouped by their Producer, each Producer's clients on their own page.</li> <li>24. Added a new report: Selected Names By Subtype. Similar to the regular Selected Names report, grouped by the names' SubType.</li> </ol>
<p>2.70.89 Released: 27 Jul 2004</p>	<ol style="list-style-type: none"> <li>1. XMLife (the Acord download standard for life, variable annuities, and mutual funds) was released for Mass Mutual and added to the CD Installation and Web update.</li> </ol>
<p>2.70.87  Released: 29 June 2004</p>	<ol style="list-style-type: none"> <li>1. Contact Management: Outlook recurring events are now supported on the Outlook import/export.</li> <li>2. Insurance: Added Other Features &amp; Benefits text box to Rider Screen so users can type in benefit descriptions free-form.</li> <li>3. Insurance: Added Policy Sub-Type field to Policy record. This uses the same code set as the investment sub-types. Allows the designation of insurance contracts as "Individually Owned", "In Trust" etc.</li> <li>4. Contact Management: Added support for Cabinet NG document storage applications.</li> <li>5. Contact Management: Outlook routines will no longer popup the Microsoft security screen about allow access for 10 minutes</li> <li>6. Patriot Act: Added Driver's License Issue Date to the Identification Screen in the Update Name section.</li> <li>7. TD Waterhouse: Positions are now based on the Trade Date.</li> <li>8. Investments: Reconcile screen now defaults Add Reconcile Transactions vs Overwrite.</li> <li>9. Investments: Initialization Screen now supports negative share values.</li> <li>10. Investment Reports: Changed the Purchases column to confirm with other reports in Total Performance Wide (TPW) and (TPWDR).</li> <li>11. Investments &amp; Insurance: When deleting a name that is used as a producer,</li> </ol>

	<p>an additional warning screen will tell you how many clients this person is a producer for.</p> <ol style="list-style-type: none"> <li>12. LaserFiche Interface: If you are a LaserFiche user only: the Word Merge file will contain Insurance or Investment Flex Field 24 and put system data in that field. This does not affect the data in Flex Field 24 of the database -- just the file created to merge with Word.</li> <li>13. Contact Management: Outlook export default for "Do Birthdays and Anniversaries" is now defaulted to not exporting these values. Outlook creates reminders for these items.</li> <li>14. Contact Management: Outlook import for Multi-producer version now looks only at the names "owned" by the producer being imported. If that producer does not have the name being imported, a new client record will be created even if the client exists for another producer. This way, both producers will have the client and they are kept separate.</li> <li>15. Contact Management: Outlook previously checked the Outlook deleted items during an export before creating a new contact and would basically undelete the item. Now, it will update the contact in the deleted items folder, but will leave it there as the user had it and not undelete the item in Outlook.</li> <li>16. Multi-Producer Version: The producer lookup is now restricted based on the user's rights and the Add and Remove buttons are restricted.</li> <li>17. Contact Management: Outlook import and export now supports the client's web page address.</li> <li>18. Laser App Interface: Changed interface so that using the Cabinet NG storage feature from inside Laser App (when called from inside Advisors Assistant) you will store the document with the same primary key as if you had just used Cabinet NG from Advisors Assistant itself.</li> <li>19. DST Downloads: Added the ability to deal with the mass CUSIP changes where many CUSIPS changed to just one CUSIP. This was released in the DST Module update to accommodate Manulife's CUSIP changes in early June.</li> <li>20. Contact Management Reports: Selection Report last change date also checks the Notes file.</li> <li>21. Contact Management: Outlook import and export now will ONLY export the current user's calendar even if the user has rights to other calendars.</li> <li>22. Reports: Updated several reports to accommodate larger numeric values.</li> <li>23. Reports: Added new report "Selected Names, Addresses &amp; Insurance.</li> <li>24. Reports: The Selected Names &amp; Insurance report now includes the number of employees and policy status.</li> <li>25. Contact Management: Outlook import will NEVER overwrite a producer's name during import. It will only add the producer to a blank field.</li> </ol>
<p>2.70.82  Released: 18 Mar 2004</p>	<ol style="list-style-type: none"> <li>1. DST: Latest DST Cusip Files are part of this release.</li> <li>2. Contact Management: Added Age to the View Client with Links screen, so you can see the age of the linked names. User suggestion!</li> <li>3. Improved Investment Value Calculations when there is a buy transaction on the same day as an Initialization Value.</li> </ol>
<p>2.70.81  Released: 11 Mar 2004</p>	<ol style="list-style-type: none"> <li>1. DST: Latest DST Cusip Files are part of this release.</li> <li>2. DST: HTTP Download - Removed the message about files being deleted (Advisors Assistant automatically deletes files 30 days after they are processed to manage disk space). This was confusing users and causing phone calls.</li> <li>3. DST: Preferences are now saved for all users in the Multi-producer version.</li> <li>4. Insurance: When a policy number is changed, the user's initials are no longer updated for the underwriting records even though the policy number is changed in those records.</li> <li>5. Contact Management: When adding or changing email addresses some basic checks are made to assure a valid address.</li> <li>6. Investments: Added investment report Investment Symbol List II (ILBS2) in the Lists Section.</li> <li>7. Investments: Added investment report Investments Listed By Company</li> </ol>

	<p>With Maturity Date (ILBCMD).</p> <ol style="list-style-type: none"> <li>8. Calendar: The ToDo / Calls list in the Day View now remembers the user's sort order preference between sessions.</li> <li>9. Commissions: When posting manually it was possible to enter a paid amt with out a posted date. You can't do that now.</li> <li>10. Commissions: New report: Agent Year End Summary By Agent which breaks out commissions paid directly by the company and those paid by the agency.</li> <li>11. Pershing: If the new bookkeeping and money line files are present on the DL directory (indicating that the user has switched to the new files), Advisors Assistant will delete the old style BK and ML files as a housekeeping function.</li> <li>12. Sterne, Agee &amp; Leach download: CCHK and CASH transactions are now processed.</li> <li>13. Investment Downloads: In processing transactions, if Settlement Date is BEFORE the Transaction Date, the transaction is processed and considered part of a position.</li> <li>14. Investment Downloads: Vendors have recently added an indicator for the money funds. Advisors Assistant is using that indicator. Interest transactions now increase basis.</li> <li>15. First Clearing Download: Transaction code STAX has been changed to a non-financial transaction.</li> <li>16. Sterne, Agee, Leach: Added logic to determine when a transaction has a settlement date, but the cash flow in/out of the money fund is unsettled.</li> <li>17. Investments: On rate of return reports, if an investment has a liquidation date equal to the first day of the report, it is now ignored.</li> <li>18. Investments: New Group With Report - Asset Allocation Graph By Category GW (ISBCGW).</li> <li>19. Modules Menu: Statement One and Datalynx added to the menu. These are still in testing and not yet available.</li> <li>20. Contact Management: Outlook Import now asks for a default producer to use in the event the Outlook name is not yet in Advisors Assistant.</li> <li>21. Wedbush Morgan download: Positions are based on the trade date.</li> <li>22. Wedbush Morgan download: Several new transaction codes are now being processed.</li> <li>23. Contact Management: Added new report Selected Names II which has the Name Type and Subtype.</li> <li>24. Contact Management: Added the Name Type to the Wedding Anniversary List and the Birthday List reports.</li> <li>25. Insurance: Added Policy Status to the Selected Policies and Client Summary Detailed reports.</li> </ol>
<p>2.70.7800 Released: 03 Jan 2004</p>	<ol style="list-style-type: none"> <li>1. DST: Added support for Putnam Bond maturity dates.</li> <li>2. Contact Management: Added field to indicate whether a client or prospect can be called. If the field is set to No, a small phone with an X appears next to the phone number on the view screen.</li> <li>3. Contact Management: Added a count showing the number of phone numbers, addresses, and email addresses stored for that name in the system. This will let you know that other addresses, etc. exist.</li> <li>4. DST FanMail: Added the ability to automatically retrieve files using DST's new web site. FTP programs are no longer needed. Note: Switching over must be coordinated with DST because they must activate your account on the web site, and you need to change your DST preferences in Advisors Assistant's DST Module. Client Marketing Systems is the first vendor to go live on the FanMail web site.</li> <li>5. Added 'Do Not Call' field to the Selection Reports search criteria.</li> <li>6. Contact Management: Head of Household field no defaults to 'No'. It previously defaulted to blank.</li> <li>7. Contacts: When adding a name, Advisors Assistant will not save the record unless at least the first or last name (or organization name) is filled in.</li> <li>8. Notes: You can now print an individual note from the note edit screen or</li> </ol>

- print just the part of the note text you highlight.
9. Notes & Underwriting: You can no longer enter a follow-up date that is prior to the current system date.
  10. Investments: On the View Client With Investments, you can toggle off the view of liquidated investments by clicking on the label in the column heading. This was removed from the Preferences Menu.
  11. Contact Management: Added the Primary Email field. Now the View Screens default to showing the Primary Email vs the first email in the file.
  12. Contact Management: Added the ability to export Primary Emails.
  13. The County field in the Add Address screen now automatically capitalizes the first letter.
  14. Insurance: The Case Reference field would allow entry of more than the 15 characters it stored. Fixed.
  15. On 13 Add/Modify screens, 'C' is no longer the shortcut for Cancel. Esc will Cancel or you can click on Cancel. This avoids inadvertently canceling a screen without saving.
  16. Added a Print button to the Add / Modify Attachment screen allowing the printing of a single attachment from the screen.
  17. First Clearing Download: Module now supports commissions when they are sent in the download.
  18. Calendar: The ToDo List on the Day View now defaults to time order. It previously defaulted to type of ToDo.
  19. On Add / Modify Organization screen, the name of the organization now will only auto-capitalize when adding a new record, allowing you to go back and use the modify function to allow for names with lower case after a space character.
  20. New Report: Added report for View Investment With Flex Fields Screen.
  21. Commissions: Distribution screen now displays non-commissionable amounts when one exists.
  22. Investments: Rate of Return reports now provide the option to have Advisors Assistant calculate Account and Portfolio levels based on aggregating the individual returns (the default and previous method) or recalculating the entire account. Both have advantages. See the help on the ROR Report Filter screen for a discussion.
  23. Insurance: On Modify Policy screen, Bi-Monthly can be represented by an abbreviation of either BM or BIMO.
  24. Pershing: Added transaction types for ACAMs money fund.
  25. First Clearing Download: Transactions with all zeros in the account number are now skipped.
  26. Calendar: When you click into a blank event description field, the insert cursor now goes to the beginning of the field.
  27. Investment Downloads: The account number now displays on the position attachment screen to provide additional identification information.
  28. Investment Downloads: When the first investment in an account is added, users can now designate the objective, account type, owner, group with (household) etc. during the download process if they wish to.
  29. Investment Downloads: Added the account number (control number) to the missing cusip / symbol report.
  30. Investment Downloads: On entry into any of the modules, Advisors Assistant checks for other users in the same module and alerts the user. This protects from 2 users doing the same download at the same time.
  31. Fidelity Download: A routine will check for bad Cash Dividend transactions which resulted from one of the Fidelity unannounced Advisor Channel updates. The bad transactions are removed and the routine will not run again.
  32. Fidelity: Advisors Assistant will now launch Advisors Channel, but you need to store the path in the Fidelity Preferences first.
  33. Investment Downloads: If the client's gender is in the file, Advisors Assistant will now utilize it. Only the Schwab download contains gender at this time.
  34. Investments: View Investment with Transactions screen now shows a '\*'

	<p>next to a cancelled transaction.</p> <p>35. Investments: Extensive work was done on Canceling transactions, cancelled canceling transactions, changed cancelled transactions, and multiple cancelled transactions on the same day.</p> <p>36. Investments: Modify Transaction screen now indicates if a transaction has been cancelled by a subsequent transaction by displaying 'Cancelled' in the upper left corner.</p> <p>37. Download Modules: Added a report showing symbols which were automatically added to the system by the download. This will give users a list which can be used to go back and add additional information, such as category and sector, to the symbol record.</p> <p>38. Main Module: File maintenance now automatically bars other users from starting Advisors Assistant.</p> <p>39. Investments: On the Initialize Values screen, when the user elects 'Use These Values as Current' he is now notified that this investment will not be eligible for rate of return calculations. (Transaction history is not used with this election.)</p> <p>40. Main Module Combine Name Function: When the names being combined both have the same investment and the user elects to keep both investments, a red warning screen now appears so the election of both must be made twice to be valid.</p> <p>41. LPL Download Module: Transactions without settlement dates are now skipped..</p> <p>42. DST FanMail: Added the ability to default the Sub-type, Objective, Group With, Owner, Client Direct, and Tax Qualified status when a new investment account is set up by the download.</p> <p>43. Investments: Added the symbol to the View Investments Screen.</p> <p>44. Investments: Added the Symbol to the View Client With Investments - Account Perspective.</p> <p>45. New DAZL module released. Contact our Tech Support to schedule switching over. Switching to the new DAZL files must be coordinated with DAZL. Many users have already switched.</p> <p>46. Investment Downloads: Added the capability to designate a Producer #1 or #2 if these are not downloaded. If both producers are downloaded, the fields are disabled. If producers are not downloaded, they are defaulted based on investments in the same account, but the fields are available to change.</p> <p>47. First Clearing Download: When a money fund is found, a position is created. If none is found, or multiple money funds are present in the account, no position is created.</p> <p>48. Investments: When a canceling transaction is deleted or modified, the cancelled transaction is now updated immediately. If the canceling transaction is deleted, the cancelled transaction is no longer cancelled.</p> <p>49. Investments: Reinvestments in cash issues now automatically increase the cost basis.</p> <p>50. Investments: Cash issues now can have their cost basis go negative.</p> <p>51. Investments: Rate of Return Reports: Investments made on the last day of the reporting period now show on the reports, but they still don't have an effect on the return.</p> <p>52. TD Waterhouse Download: Interest, Cash Dividends, and Cash Capital Gains now implicitly are created and added to the cash account even though they transactions are not explicitly included in the download.</p> <p>53. Insurance Riders: Added the indication of who changed the record last and when it was changed on the Modify Rider Screen.</p> <p>54. Insurance Commissions: When adding a manual commission transaction, you must add a due date.</p> <p>55. Insurance Commissions: When adding a manual transaction for a policy, if a transaction already exists for that date and policy, the system prevents you from adding a second one.</p> <p>56. Data Exchange: If you change an alpha key in the Main Module, you are</p>
--	---

	<p>reminded to change it on any system you may exchange data with before making the exchange. Only data exchange users get this message.</p> <p>57. Insurance Commissions: The Variance Report now takes into account any remainder transactions.</p> <p>58. Investment Downloads: Cash accounts are now marked as fixed at \$1 per share and given \$1 price records.</p> <p>59. Main Module: Selection Report flex field entries now are automatically entered as capital letters and comparisons are made in upper case even if the data entered on the entry screens is upper and lower case. This avoids any users having to worry about what case the actual data is entered with.</p> <p>60. Insurance &amp; Investments: New Insurance and Investment Report Summary on Investment &amp; Transaction Reports Menu.</p> <p>61. DST FanMail: Pressing Esc from the Found Account Number but Not Cusip screen is now like clicking on the cancel download button. (System asks before exiting.)</p> <p>62. Investments: Group With Performance Reports now have an '**' next to any date which is after the beginning date of the report range.</p>
<p>2.70.66.01</p> <p>Released: 05 Oct 2003</p>	<ol style="list-style-type: none"> <li>1. Calendar: Fixed a problem when you tried to close a calendar event screen using the little X in the upper right of the screen.</li> <li>2. Main Module: The first character of the names was automatically made upper case when adding or modifying a name. To accommodate the rare time when the first character is lower case, this feature was removed from the modify screen. When adding a new name, it is still in affect.</li> <li>3. Fixed a problem with the Advisory World ICE export (still in testing).</li> <li>4. Investments: Downloaded transactions (DST, Pershing, etc.) which do not have a settlement date are not included when calculating transactions and market values. Manually entered or edited transactions are included even if the settlement date is blank.</li> <li>5. DST: New cusip file is in this release.</li> <li>6. Investments: Prior Period ROR Reports - Added a message to make sure users were entering calendar quarters vs any other 3 month period.</li> </ol>
<p>2.70.65</p> <p>Released: 19 Sep 2003</p>	<ol style="list-style-type: none"> <li>1. Fidelity Download: Upgraded Fidelity Download to accommodate Advisor Channel 6.4 which introduced new fields which were not specified in the profile set up. We continue to encourage Fidelity to put us on their menu.</li> <li>2. Main Module: Added extensive support for Laser App and the Patriot Act. See new screen in the update names area called Identification.</li> <li>3. Main Module: Added several new fields on the App Automation Screen for filling in Attorney's, Accountants, Bank Accounts, etc. in Laser App See the Help on this screen.</li> <li>4. Main Module: Added a 'Get Defaults' button to the App Automation Screen. This will automatically complete the screen if you have spouse, Children, Attorneys, Accountants, Banks, etc. linked to the client. See the Help for which link abbreviations you need to use. We picked the most common ones.</li> <li>5. Investments: Laser App Export (in addition to the quick app from the View Client With Files Screen) now supports all the new fields added to Laser App for the Patriot Act.</li> <li>6. File maintenance will also delete any files beginning with the characters TMP.</li> <li>7. Investments: Changed the Rate of Return Activity reports and the Account Performance Wide No Pct report to reflect acquisition costs if the buy transaction containing the costs is on the first day of the reporting period.</li> <li>8. Investments: Added the Sub-Type description to the account number on the above reports (requested by several users).</li> <li>9. Pershing: Changes made to accommodate Pershing's new self extracting zip file naming convention and the fact that they are sometimes set to read only and cannot be deleted after 30 days. Reset the read-only flag to read-write.</li> <li>10. Vision 20/20 Pershing Download: Pershing download changed to accommodate the new Vision 20/20 / Sun America / Royal Alliance file</li> </ol>

	<p>naming convention. Advisors Assistant is now on the Vision 20/20 menu selection.</p> <ol style="list-style-type: none"> <li>Pershing: Any cash symbol will now be called 'Account Daily Cash Balance'.</li> <li>Investments: Added the Sub-Type description to the Account Performance Detail (APD), Total Performance Wide DLR ROR (TPWDR), and Account Performance No Pct (APNP) reports as requested by several users.</li> <li>Investments: Changed transaction processing to process transactions even if not settled as long as they were entered manually (VS downloaded).</li> </ol>
<p>2.70.64.05</p> <p>Released: 29 Aug 2003</p>	<ol style="list-style-type: none"> <li>Pershing: A few more changes to the ACAMS money market logic and some new transaction types added to the long list of possible Pershing transactions. (When you get a report that a Pershing transaction is not on our Pershing transaction list, please print it and fax it to us as provided for on the report :- ) Pershing does not announce new transaction types, so we depend on users to tell us when they show up. New ones are rare, but need to be accomodated.</li> <li>Vision 20/20: Pershing routines have been modified to process the Vision 20/20 downloads.</li> <li>DST: DST Cusip files updated.</li> <li>Rate of Return Reports: 9 Reports enhanced. Changed all 8 Activity Reports and the Account Performance Portfolio Summary to include in the "Beginning Investment" the load, accrued interest, or other costs (commissions) if the purchase transaction is on the first day of the period being measured. These costs are also reflected as Acquisition Costs at the bottom of the report, but only if they apply.</li> <li>Rate of Return Reports: 3 Reports enhanced. Changed the 9 reports above and Account Performance Detail, Total Performance Wide (Dollar ROR), and Account Performance - No Percentage to include the Account Sub-type next to the account number as requested by several users.</li> </ol>
<p>2.70.6404</p> <p>Released: 18 Aug 2003</p>	<ol style="list-style-type: none"> <li>Pershing: It was possible to get a duplicate transaction in the ACAMS money market due to them being listed in both the bookkeeping and the fund accounting file. Changed.</li> <li>DST: This release includes the latest DST Cusip files.</li> </ol>
<p>2.70.64</p> <p>Released: 8 Aug 2003</p>	<ol style="list-style-type: none"> <li>Added Email and URL address designation to the Address entry field screen so that you can change the type of address being entered from that screen.</li> <li>Investment reports menu now includes the code that is at the bottom of the investment reports so you can match the report with the menu item (requested by several users).</li> <li>Investment Report: Added Account Statement With Subtype Report to show the type of account next to the account number.</li> <li>Investment Report: Added second Group With Report by Category to subtotal each Category within the individual investor which is also subtotaled within the designated group or family.</li> <li>When adding a new address, the primary check box will default to primary if there is no primary address of that type (physical, email, or URL).</li> <li>Advisory World's ICE added to investment module export menu. See <a href="http://www.advisoryworld.com">www.advisoryworld.com</a> for information on ICE, an investment analysis program. This is to export the client investment data to the ICE web site for analysis.</li> <li>Pershing: Enhancements made to the money fund and sweep account downloading. Also added several new transaction codes.</li> <li>Investments: In processing for reconciling, if a transaction was downloaded via internet, the settlement date is used. If it was entered by hand, the transaction date is used. This will improve reconciling for downloads that may send unsettled transactions and not include them in the position being sent.</li> <li>Changed backup message to mention external media besides floppy disks.</li> <li>Investment Report: Added a field to the account performance data check to</li> </ol>

	<p>show acquisition costs at the client level and how it affects the data.</p> <ol style="list-style-type: none"> <li>11. Calendar: New report, Regular Size By Client III.</li> <li>12. Investment Reports: New report added, Asset Allocation Graph by Category 3.</li> <li>13. Added a transaction number to all the Performance Activity Reports and fixed the transaction list heading so it will always repeat on a new page.</li> </ol>
<p>2.70.61.02</p> <p>Released: 12 Jun 2003</p>	<ol style="list-style-type: none"> <li>1. Investments: Rate of Return (ROR) Reports -- 6 new reports added for Prior Period calculations. You can now elect prior period reports from the ROR Report Filter Screen (radio button at the top) and calculate for a current quarter. Advisors Assistant will automatically calculate the previous quarter, current year to date, and previous calendar year. Three reports are Time Weighted ROR and 3 are dollar weighted ROR.</li> <li>2. Outlook Exchange Server: Advisors Assistant now imports and exports from/to Exchange Server.</li> <li>3. Investment Reports: Added a new report in the Investments and Transactions Section to list only sale and expense transactions which have no basis out (cost of shares sold). You would still supply the date range or other investment filter items. Don't supply the transaction type in the filter.</li> <li>4. Investment Selection Report Filter: Optimized the selection when you are filtering for just one client. Now runs more than twice as fast.</li> <li>5. Investment Selection Report Filter: Load Previous Selection feature was not working. Fixed.</li> <li>6. Updated LaserFiche document storage interface to pass the TaxID of the client to be used by LaserFiche for their templates.</li> <li>7. Investments: New report - Account Statement with Subtype. Adds the Subtype (IRA, 401(k) etc.) to the account number if the Subtype exists. If you like this, let us know and we'll add it to other reports that only show the account number.</li> </ol>
<p>2.70.58.02</p> <p>Released: 07 May 2003</p>	<ol style="list-style-type: none"> <li>1. The only difference between this and 2.70.5802 is the DST Cusip file. Putnam Allstate Advisors had sent the wrong fund descriptions to DST. This update has the corrected file as part of the download.</li> </ol>
<p>2.70.58.01</p> <p>Released: 02 May 2003</p>	<ol style="list-style-type: none"> <li>1. DAZL: Reset the check for the new DAZL file formats to look inside the file rather than look just for the date that is part of the file name.</li> <li>2. Internet Investment Downloads: Some minor maintenance and fine tuning on investment downloads that makes this an update you should do if you're downloading any investments.</li> <li>3. Calendar: When you change a recurring event, Advisors Assistant now asks you if you want to make the change to subsequent events in the set of recurring events. Previously it made the change to the set without asking.</li> <li>4. Commissions: Fixed some minor problems with the new Commissions Module reported by users.</li> <li>5. Investment Reports: Changed the Export to Excel by Objective to make it easier to graph pie charts. Give it a try.</li> </ol>
<p>2.70.56.01</p> <p>Released: 14 Apr 2003</p>	<ol style="list-style-type: none"> <li>1. Investments: New graph report Asset Allocation Graph By Category 2.</li> <li>2. Commissions: Various changes to manual posting and manual data entry screens for the new commission module introduced last version.</li> <li>3. DST: The attachment screen for positions are now replaced even if the position is for the same date. Previously, it only replaced older positions.</li> <li>4. DST: Contains latest DST Cusip file as of this date.</li> </ol>
<p>2.70.55.02</p> <p>Released: 03 Apr 2003</p>	<ol style="list-style-type: none"> <li>1. Major commission module update with the following changes: <ul style="list-style-type: none"> <li>• Ability to calculate commissions per group participant (member)</li> <li>• Calculate commissions on an added premium level (Excess Level 2)</li> <li>• Redesigned screens for easier navigation.</li> <li>• New commission reports showing comms by member. 20 affected.</li> </ul> </li> <li>2. Investments: Major investment Reports Filter Update for Investment &amp; Transaction Reports:</li> <li>3. Expanded the investments Reports Filter adding several new search criteria that have been requested by users. PLUS added the ability to search for</li> </ol>

	<p>investors based on name demographics, name flex fields, and investment flex fields.</p> <ol style="list-style-type: none"> <li>4. Note: Since this is an investment report filter, in order to be selected, the client must have at least one investment. For example, searching for client born in June will only select those clients born in June who have at least one investment. This is also explained in the on-screen help.</li> <li>5. This is a major enhancement to the power of the investment reports.</li> <li>6. Investments: Added the ability to produce an "OR" condition in the Investment &amp; Transactions Reports Filter . A new "Add To Search" button lets you add a new search to the data selected in the previous search. For example, in the first search you can check for clients who have mutual funds and in the second search you could check for clients who have stocks. The resulting report will contain clients who have mutual funds OR stocks. (See the help on the screen.)</li> <li>7. Investments: Symbols can now be changed to a new symbol without the necessity of adding the new symbol first. It is automatically added.</li> <li>8. Calendar: Added the ability to send messages to multiple users on Advisors Assistant. The drop down list now has a check box for each user.</li> <li>9. On the View Client screen the label "Founded" was changed to "Established" for organizations.</li> <li>10. Calendar: You can now copy and move events to multiple calendars at the same time.</li> <li>11. When a name is deleted from Advisors Assistant, any calendar event is also now deleted.</li> <li>12. Calendar: On the Events Detail Screens, added the name of the calendar (user's name) to the top and the change date and user's initials who last changed the event near the bottom of the screen.</li> <li>13. DST: Changed the download so that Learning Quest's account numbers which do not meet the requirement of being unique to the client, become unique by adding an additional field sent separately by LQ.</li> <li>14. Calendar: Added a delete button to the read message screen.</li> <li>15. Changed the maximum length of the first 4 flex fields adding 3 significant digits.</li> <li>16. Pershing: Transaction comments now include the various fees incurred.</li> <li>17. Calendar: When a message is read, it is now marked as completed.</li> <li>18. Calendar: Low priority to-do's and calls no longer give pop-up reminders.</li> <li>19. Investments: New Group With report by Sub-Type which sub-totals after each account. This is designed for more information where the clients grouped have more than one account. If there is only one account number per name being grouped, the standard grouping by sub-type would be less busy.</li> <li>20. 2.70.5502 was necessary to change a routine which relied on some newer Microsoft technology and Internet Explorer 5.5 or later. It turned out that many users were still on IE 4.0 and have not been getting their free Windows updates from www.microsoft.com. Therefore we rewrote it to postpone the new technology. However, please get the service packs for your Windows 98, 2000 or XP and update Internet Explorer to 6.0.</li> </ol>
<p>2.70.49  Released: 03 Mar 2003</p>	<ol style="list-style-type: none"> <li>1. DST: New CUSIPS including the Security Benefit Life changes are part of this update. The CUSIP file can also be downloaded separately. (Look just below the area where you do the regular update for the CUSIP download button.)</li> <li>2. Pershing Downloads: Changed the way Advisors Assistant does the price factors for bonds. Your symbol file will automatically be updated with the new factors. Bond factors are now applied to the unit values.</li> <li>3. Investments: Cash deposits were added to the View Investments "Buy" perspective and cash withdrawals and expenses were added to the "Sell" perspective in the View Investments with Transactions screen.</li> <li>4. Insurance: Added Underwriting Sorted By Follow-up Date report.</li> <li>5. Calendar: Extended the Empty Times report to include the 7pm hour.</li> <li>6. Other Investment Downloads: Made minor changes to LPL, SEI, Pershing,</li> </ol>

	First Clearing.
2.70.44  Released: 22 Jan 2003	<ol style="list-style-type: none"> <li>1. Calendar: Add mail merge capability to calendar reports screen so that you can merge calendar data into letters. Look for the MS Word button on the calendar reports screen.</li> <li>2. Letter Master: Added new master letter AA MASTER APPOINTMENT SAMPLE.DOC. This letter is located in the WORDMRGE folder just under the Advisors Assistant program directory. Be sure to COPY the master letter using Windows Explorer to the directory containing your initials located UNDER the WORDMRGE directory. The letter is designed to only be used with Word 97 or later and only when you are in the Calendar because it uses Microsoft automation and looks for calendar fields located after the name fields.</li> <li>3. Added "Send Mail" field on the Add and Update name screen to indicate that a client wants to opt out of mailings. This is a Yes or No choice with the default set to "Yes". All names currently in the database are set to "Yes".</li> <li>4. Selection Reports: Added "Mailing Option" filter item which can be "Both", "Yes", or "No". This allows you to select only those clients who want mailings and put the information on reports, into letters, or emails. The default is "Both" which means to ignore the Mailing Option field during selections.</li> <li>5. Selection Reports: Changed the email selection to "All", "Has Email", or "No Email". All means that clients with or without email addresses will be selected.</li> <li>6. When a calendar user was deleted and file maintenance was not performed the calendar user still showed until maintenance was done. Fixed.</li> <li>7. Calendar Report Filter: Added a filter criteria "Has Name in 'With' Field" so that the Mail Merge to Word could be set to only send letters to those calendar events associated with names in the database.</li> <li>8. Web addresses on the View screen will now work even if they don't have the www or http:// entered.</li> <li>9. Outlook Export/Import: Outlook set the country to "United States of America" and returned that during the import to Advisors Assistant. The long field would not fit into Advisors Assistant. Import now restates it as "USA" which does fit.</li> <li>10. Update Reminder: Added the ability to snooze the reminder if you do not want to check the version for up to 60 days (that long is not recommended).</li> </ol>
2.70.40.02 Released: 8 Jan 2003	<ol style="list-style-type: none"> <li>1. Fidelity: Change in the Fidelity download to accomodate the fact that Fidelity was leaving some fields blank on certain year end transactions which were expected to be filled in.</li> <li>2. DST: Latest DST CUSIP files.</li> </ol>
2.70.40.01  Released: 12 Dec 2002	<ol style="list-style-type: none"> <li>1. Web Update Routine: Changed the interface for the update routine. Now you just make major choices, such as DST, Investments, Clearing House Downloads, and the update routine determines which modules for which you are registered and downloads them. If you have not yet registered (in the 30 day trial), the routine will ask you about each optional module. With so many download modules available, we did not want to have so many options on the screen, so we semi-automated it.</li> <li>2. Automatic Version Check: Added the ability to have Advisors Assistant check to see if you're on the current version by going out to our web site from inside Advisors Assistant and comparing the version you are running with the latest version. A timer was added that will remind you to make the check every 15 days. To check, click on Help   About Advisors Assistant. The help button from that screen will provide more information. Added by popular demand.</li> <li>3. Several enhancements to the Outlook import and export, based on user's suggestions, to better integrate with what is displayed. Among them is including the contact name with both todo's and appointments. However, the contact associated with a calendar event in outlook must be chosen by clicking on the Outlook Contact button, and not entered freeform.</li> </ol>

	<ol style="list-style-type: none"><li>4. Commissions: View policy with commissions screen, remainder transactions will now have a "(R)" indicator.</li><li>5. SEI Financial downloads added to the Modules Menu and released.</li><li>6. LPL Financial downloads added to the Modules Menu and released.</li><li>7. First Clearing House downloads added to the Modules Menu and released.</li><li>8. Pershing: Fixed a problem with pool factors and price factors which affected rare transactions.</li><li>9. Laser App Interface: Added country to the output.</li><li>10. Outlook Import: Changed the way we import multi street line addresses at user's requests.</li><li>11. Outlook Import: Added the ability to export the "With" field in the Advisors Calendar to the Outlook Calendar.</li><li>12. Cash Activity with empty source codes or a source code that is just an asterisk (*) are now being processed if there are valid totals amounts to process. Previously ignored.</li><li>13. DST: Variable Annuities with an indicator in the Account Position file that shows them to have Fixed Pricing schedule are t now indicated in the position attachment. It now shows on line 15 of the position attachment.</li><li>14. Pershing: Fixed a problem caused by the Royal Alliance downloads stripping out the Pershing header records causing the users to have to add a cusip to the file.</li><li>15. Changed the way Outlook Express is called from the View Screens (when it is the default emailer) so that extra spaces are not added to the end of the email address. This only happened if the address was not already contained in Outlook Express's address book.</li><li>16. You can now put your own logo on the login screen. See the help on the login screen for the graphic file requirements.</li><li>17. Investments: View Investments With Transactions - When sorting by date, the transaction type has been added to the sort so that buys will show before sells, etc.</li><li>18. On the View Client Screens, clicking on the web site will now load other browsers besides just Microsoft's Internet Explorer.</li></ol>
--	---